Required Strategies

DORA Governance Policies

DORA Governance Procedures

DORA Operational Procedures

Top-Level Strategies

Business Strategy	DORA strategy	ICT risk management strategy	ICT incident communication strategy	ICT multi-vendor strategy	ICT third-pary risk strategy
DORA, Art 5 (2e)	DORA, Art 5 (2e)	DORA, Art 5 (2e)	DORA, Art 5 (2e)	DORA, Art 5 (2e)	DORA, Art 5 (2e)

High Level Policies

Business continuity policy	Information security policy	Communication policies
DORA, Art 5 (2e)	DORA, Art. 9 (4a)	DORA, Art. 14 (2)

ICT security policies

ICT risk management policies	ICT asset management policy	Policy on encryption and cryptographic controls	Policies on ICT operations of ICT assets	ICT business continuity policy
RTS (ICT Risk Mgt.), Art. 3	RTS (ICT Risk Mgt.), Art. 4	RTS (ICT Risk Mgt.), Art. 6	RTS (ICT Risk Mgt.), Art. 8	RTS (ICT Risk Mgt.), Art. 24
ICT third-party service provider policy	**Policies on network security management**	**Policies to protect information in transit**	**ICT project management policy**	**Policy on acquisition, development & maintenance on ICT systemes**
DORA, Art 5. 2h, RTS (ICT Services), Art. 1	RTS (ICT Risk Mgt.), Art. 13	RTS (ICT Risk Mgt.), Art. 14	RTS (ICT Risk Mgt.), Art. 15	RTS (ICT Risk Mgt.), Art. 16
Physical and environmental security policy	**Human resource policy**	**Identity management policies**	**Access control policy & privileged access management**	**ICT incident management policy**
RTS (ICT Risk Mgt.), Art. 18	RTS (ICT Risk Mgt.), Art. 19	RTS (ICT Risk Mgt.), Art. 20	RTS (ICT Risk Mgt.), Art. 21	RTS (ICT Risk Mgt.), Art. 22

ICT security governance procedures

ICT asset management procedure	Capacity & performance management procedure	Logging procedures	Acquision, development, & maintenance of IDP systems procedures	Data and system security procedures	ICT risk management procedures
RTS (ICT Risk Mgt.), Art. 5	RTS (ICT Risk Mgt.), Art. 9	RTS (ICT Risk Mgt.), Art. 12	RTS (ICT Risk Mgt.), Art. 16 (5)	RTS (ICT Risk Mgt.), Art. 11	RTS (ICT Risk Mgt.), Art. 3
Network security management procedures	**Security information in transit procedures**	**ICT change management procedures**	**ICT operations procedures**	• Access restrictions (2a) • Hardening (2b) • Installing of secure software (2c) • Malware protection (2d) • Device management (2e) • Mobile device & ByoD (2f) • Data deletion (2g) • Disposal and decommission (2h) • Data loss & data leakage protection (2i) • Mobile working policy (2j) • Data classification (2k)	• ICT risk assessment procedure (1b) • ICT risk treatment procedure (1c) • ICT risk acceptance procedure (1d, i+ii) • ICT risk inventory management (1d, iii) • ICT risk re-evaluation procedure (1d, iv) • ICT risk monitoring procedure (1e)
RTS (ICT Risk Mgt.), Art. 13	RTS (ICT Risk Mgt.), Art. 14	RTS (ICT Risk Mgt.), Art. 17	RTS (ICT Risk Mgt.), Art. 8		
Vulnerability & patch management procedure	**Identity management procedures**	**Acquision, development, and maintenance of ICT systems procedures**	• ICT asset & live cycle management (2a) • ICT configuration management (2a) • Control & monitoring of ICT systems (2b) • Error handling of ICT systems (2c)		
RTS (ICT Risk Mgt.), Art. 10	RTS (ICT Risk Mgt.), Art. 20	RTS (ICT Risk Mgt.), Art. 16			

ICT operational security procedures

Response, restoration & recovery procedures	Crisis communication procedures	Backup procedures	Procedures for responsible disclosure of vulnerabilities	ICT business continuity procedures	Procedures to verify the ability to respond to response & recovery plans
DORA, Art.11 (2c), DORA, Art. 12 (1b)	DORA, Art.11 (7)	DORA, Art. 12 (1a)	RTS (ICT Risk Mgt.), Art. 10 (2e)	RTS (ICT Risk Mgt.), Art. 24 (1)	RTS (ICT Risk Mgt.), Art. 25 (2e)
Procedures for system restart, rollback and recovery	**Error handling procedures**	**Resource optimization & monitoring procedures**	**Procedures to assess compliance with requirements**	**Fall-back procedures**	**Procedures to document, re-evaluate, assess & approve emergency changes**
RTS (ICT Risk Mgt.), Art. 8 (2c)	RTS (ICT Risk Mgt.), Art. 8 (2c)	RTS (ICT Risk Mgt.), Art. 9 (1)	RTS (ICT Risk Mgt.), Art. 14	RTS (ICT Risk Mgt.), Art. 17 (2e)	RTS (ICT Risk Mgt.), Art. 17 (2g)
Emergency procedures for patching and updating of ICT assets	**Escalation procedures**	**Procedures to limit, lock and terminate system and remote sessions**	**Account management procedures**	**Escalation procedures to implement the ICT business continuity policy**	**Procedures to manage changes**
RTS (ICT Risk Mgt.), Art. 10 (4b)	RTS (ICT Risk Mgt.), Art. 10 (4d)	RTS (ICT Risk Mgt.), Art. 13 (1L)	RTS (ICT Risk Mgt.), Art. 21 (1e)	RTS (ICT Risk Mgt.), Art. 24 (1e)	RTS (ICT Risk Mgt.), Art. 17 (2f)
Precedures for abording changes or recovering from changes					
RTS (ICT Risk Mgt.), Art. 17 (2e)					